Office of the Police and Crime Commissioner Report

REQUEST FOR POLICE & CRIME COMMISSIONER DECISION - (N° 009 / 2016)

TITLE: Approval of the Capital Strategy 2016/17 and Beyond

Executive Summary:

The purpose of this report is to provide information on the proposed capital programme for 2016/17 and beyond, both in terms of capital expenditure projections and the financing available to fund such expenditure. The capital programme is developed in consultation with the Constabulary who are the primary user of the capital assets under the ownership of the Commissioner.

Local Authorities (including Police and Crime Commissioners) determine their own programmes for capital investment in non-current (fixed) assets that are essential to the delivery of quality public services. The Commissioner is required by regulation to have regard to The Prudential Code when carrying out his duties in England and Wales under part 1 of the Local Government Act 2003. The Prudential Code establishes a framework to support local strategic planning, local asset management planning and proper option appraisal. The objectives of the Prudential Code are to ensure: "within a clear framework, that the capital investment plans of local authorities are **affordable, prudent and sustainable**". To meet these requirements, all schemes within the 4 year medium term capital programme are only approved on the basis that they are fully funded either through capital grants, capital reserves, capital receipts or revenue contributions.

Recommendation:

1.1. The Commissioner is asked to approve the capital strategy for 2016/17 and beyond as part of the overall budget process for 2016/17.

1.2. The Commissioner is asked to approve the status of capital projects as outlined in paragraph 3.12 and as detailed in the appendices 2 to 5.

Police & Crime Commissioner

I confirm that I have considered whether or not I have any personal or prejudicial in this matter and take the proposed decision in compliance with the Code of Conduct for Cumbria Police & Crime Commissioner. Any such interests are recorded below.

I hereby approve/~~do not approve~~ the recommendation above

Police & Crime Commissioner / ~~Chief Executive~~ (delete as appropriate)

Signature:  **Date: 24th February 2016**

Cumbria Office of the Police and Crime Commissioner

Title: Capital Strategy 2016/17 & Beyond

PCC Executive Board Meeting 24 February 2016

Report of the PCC Chief Finance Officer

Originating Officers: **Michelle Bellis, Deputy Chief Finance Officer**
Lorraine Holme, Principal Financial Services Officer

1. Purpose of the Report

1.1. The purpose of this report is to provide information on the proposed capital programme for 2016/17 and beyond, both in terms of capital expenditure projections and the financing available to fund such expenditure. The capital programme is developed in consultation with the Constabulary who are the primary user of the capital assets under the ownership of the Commissioner.

2. Recommendations

2.1. The Commissioner is asked to approve the capital strategy for 2016/17 and beyond as part of the overall budget process for 2016/17.

2.2. The Commissioner is asked to approve the status of capital projects as outlined in paragraph 3.12 and as detailed in the appendices 2 to 5.

3. Capital Funding and Expenditure

3.1. Local Authorities (including Police and Crime Commissioners) determine their own programmes for capital investment in non-current (fixed) assets that are essential to the delivery of quality public services. The Commissioner is required by regulation to have regard to The Prudential Code when carrying out his duties in England and Wales under part 1 of the Local Government Act 2003. The Prudential Code establishes a framework to support local strategic planning, local asset management planning and proper option appraisal. The objectives of the Prudential Code are to ensure: "within a clear framework, that the capital investment plans of local authorities are **affordable, prudent and sustainable**". To meet these requirements, all schemes within the 4 year medium term capital programme are only approved on the basis that they are fully funded either through capital grants, capital reserves, capital receipts or revenue contributions.

3.2. There are three main recurring elements to the Commissioner's capital programme namely: Fleet Schemes, Estates Schemes and ICT Schemes. In addition to these there are currently a small number of "other schemes" which do not fall into the broad headings above.

3.3. The table below provides a high level summary of the proposed capital programme and associated capital financing over the four year timeframe of the medium term financial forecast (2016/17 to 2019/20).

Capital Expenditure & Financing	2016/17 £000's	2017/18 £000's	2018/19 £000's	2019/20 £000's
Capital Expenditure				
ICT Schemes	*4,440*	*4,753*	*1,398*	*1,759*
Fleet Schemes	*799*	*1,449*	*529*	*637*
Estates Schemes	*1,591*	*3,634*	*4,757*	*6,805*
Other Schemes	*54*	*0*	*0*	*0*
Total Capital Expenditure	**6,883**	**9,836**	**6,684**	**9,201**
Capital Financing				
Capital Receipts	*0*	*0*	*898*	*0*
Contributions from Revenue	*1,534*	*1,573*	*1,555*	*1,534*
Capital Grants	*1,945*	*6,336*	*2,303*	*811*
Capital Reserves	*3,305*	*1,927*	*1,928*	*6,857*
Borrowing	*100*	*0*	*0*	*0*
Total Capital Financing	**6,883**	**9,836**	**6,684**	**9,201**

3.4. The profile of capital expenditure fluctuates annually. Across the current four year programme, annual average expenditure typically comprises £0.9m to replace fleet vehicles, £4.2m on estate schemes and around £3.1m for replacement of ICT systems and equipment. ICT Expenditure reflects the Constabulary strategy to invest in technology to modernise the police service and support sustainability over the longer term as well as the heavy reliance on ICT to deliver operational policing.

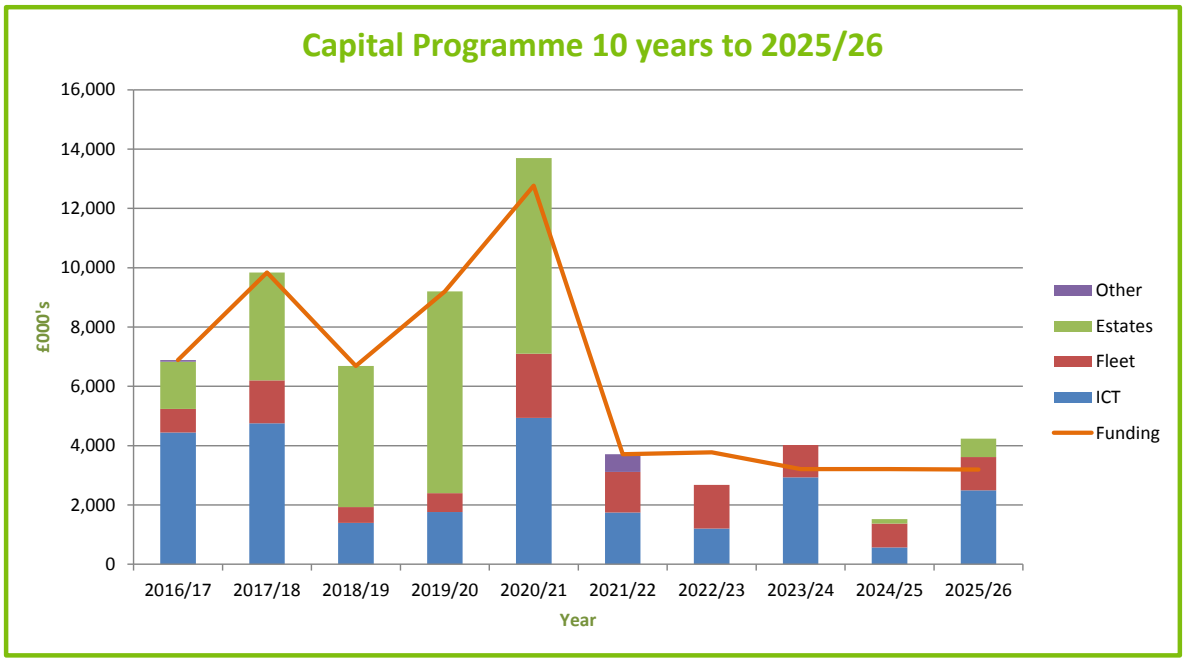
3.5. Funding for the capital programme historically has been largely dependent on capital grants and capital reserves, supported by an annual contribution to capital from the revenue budget of £1.2m per annum. By the end of 2018/19 historic capital grant and general capital reserves will have been fully utilised. This means that the capital programme from 2019/20 is heavily reliant on revenue contributions to fund capital expenditure. Revenue contributions to capital have been increased by £2m in 2019/20, £1.7m in 2020/21 and £1.3m per annum thereafter to ensure the stability of the capital programme over the longer 10 year capital strategy. The provisional funding settlement figures announced on 17 December did not include detailed information regarding capital settlements (these are to be announced as part of the final settlement in February 2016), the funding analysis did suggest however that the capital grant figures would reduce by 40%. The forecast for capital grant from 2016/17 has therefore been reduced accordingly to an estimated £426k per annum from 2016/17.

3.6. A summary of the 10 year capital programme is provided for information at **Appendix 1**. The appendix shows that the capital programme is now fully funded over the ten year period to 2025/26.

Over the later years of the programme this funding is heavily dependent on revenue contributions with capital grants supporting on average only 13% of the cost of the programme. The appendix shows that in years 5-10 of the programme there are some shortfalls and excesses which balance out over time. The detailed figures over the longer term will be subject to some refinement which will address these in year differences.

3.7. As a result of the majority of capital expenditure being in relation to relatively short lived assets (e.g. ICT and fleet of up to 10 years life), choices for financing the capital expenditure are fairly limited. Borrowing for short lived assets is not a viable consideration due to the requirement to set aside funds from revenue for the repayment of debt over the life of the asset.

The chart below illustrates capital expenditure and funding over the ten year period:



3.8. **ICT Schemes**

The ICT Capital Programme primarily provides for the cyclical replacement and improvement of the full range of ICT equipment, hardware and application software to meet the strategic and operational needs of the Constabulary. However, over the period of the medium term financial forecast it also supports the Constabulary Strategy to invest in technology to modernise the police service that is delivered to our communities. Significant investment in modernisation now will support sustainability of the service over the longer term and also enables the Constabulary to play its part in delivering the national vision for digital criminal justice processes across all agencies, with the aim of improving outcomes for victims. The ICT capital programme in support of the modernisation strategy is set out in the detailed ICT strategy 2015-2019, an annual refresh of which will be presented for approval by the Commissioner at the Executive Board meeting in February 2016. The ICT strategy and the capital programme now presented includes all those aspects previously incorporated into the Constabulary Business Plan; investment in Mobile Working, Digital Working and the Change Programme as well as the renewal or replacement of core systems and applications such as the Crime and Intelligence system SLEUTH and Constabulary Finance, HR and Duties systems. The ICT Capital Programme must also make provision for national programmes, in particular the significant investment that will need to be made for the replacement of the police radio (Airwave) system under the national Emergency Services Network (ESN) programme. The ESN scheme is now included in the capital programme at the estimated cost of £2.7m over the four years of the MTFF and £6.0m over 10 years. Details are still emerging and it won't be clear as to the

financial commitment needed locally until the Home Office have approved the full business case which is expected in Feb 2016.

A key strand of the ICT strategy is to significantly reduce the cost of ICT provision, including capital demand; for example in the coming year, ICT will be deploying a more cost effective packaged data centre solution (Converged Infrastructure) as part of its rolling replacement programme. As a result of this and similar initiatives, the additional provision for ESN (£6.0m over 10 yrs. & £2.7m over the MTFF) has largely been absorbed. Compared to the existing programme, the ICT capital programme now presented remains broadly flat over the 10 years and has only increased by £1.2m over the MTFF period.



The scope of the ICT schemes covers the cyclical replacement and improvement of the full range of ICT services: the networks, security and storage data centre capacity. This ensures information can be moved securely between the different systems and device end points through which it is entered, processed and stored; delivery of local and mandated national police systems such as the main crime & intelligence system, command and control, forensics management, prisoner information systems, case and custody, including digital files for integration with the crown prosecution service and the police national data base that supports the sharing of information between forces. Telecommunications systems within the programme provide airwave/radio capacity for police officers on duty and a central communication system to manage 999, 101 calls as well as the core telephony systems used by the Constabulary and OPCC. There are also smaller bespoke systems that for example manage the issue of tickets and penalty notices, support fingerprint identification and the collection and storage of digital evidence, collision investigation systems, automatic number plate systems, etc. The Constabulary also maintain the usual range of ICT systems to manage back office functions including financial transactions, human resources, payroll, fleet management, estates management, ICT support systems and training and learning systems. Budgets for devices provide for the costs of the different technology used to access these systems, including traditional desk top computers, laptops, tablets and smartphones that use application technology (police apps) but importantly provide end user access to all systems and applications.

Appendix 2 provides a hi-level analysis of the ICT capital programme.

3.9. **Fleet Schemes**

The constabulary fleet replacement programme consists of 289 vehicles. The capital programme provides for the replacement and adaptation of these vehicles on a periodic basis at the end of their useful life. The programme is based on the Fleet Strategy 2013 to 2017, an annual refresh of which will be presented for approval by the Commissioner at the Executive Board meeting in February 2016. The fleet strategy sets out the constabulary fleet requirements over the coming years. The main aim of the fleet strategy is to provide a cost effective fleet service to meet the needs of operational policing. All vehicles are procured through a national framework agreement which ensures value for money is achieved.

During 2015/16 changes to the NPT structure have been made and will continue into 2016/17, the resultant implication on the fleet strategy is still to be quantified. The fleet capital programme as part of this overall capital strategy includes a target for the reduction in fleet costs of 10% in 2016/17, 15% in 2017/18 rising to 20% from 2018/19. The Estates & Fleet Manager will work with operational colleagues to deliver these savings through a combination of reducing vehicle numbers, extending vehicle lives or changing vehicle specifications. Progress against this target will be monitored through the Constabulary's Strategic Vehicle Group. The fleet strategy will also need to consider the impact of the ICT Strategy 2015-2019 and the updated Estates Strategy 2013-2017. The continued reduction in police buildings and the investment in mobile and digital working will have implications for the demand/location for police vehicles and the arrangements for optimising their utilisation. These changes will impact on the way the fleet is used. This means that whilst the 2016/17 capital programme is recommended for approval on a firm basis, the programme for future years is currently indicative and subject to change as the fleet programme develops to meet the changing needs of the organisation.



Appendix 3 provides a hi-level analysis of the fleet capital programme.

3.10. **Estates Schemes**

The Commissioner's estate currently consists of 39 premises (including police headquarters, larger police stations/Territorial Policing Area HQ which include custody suites, smaller police stations and a small number of residual police houses). The estates schemes are supported by the Estates Strategy 2013-2017, an annual refresh of which will be presented to the Commissioner for approval at the PCC Executive Board meeting in February 2016 as part of the overall budget process for 2016/17. The estates strategy aims to provide a link between the strategic objectives of the organisation and priorities for the estate. The strategy outlines the current and future requirements of the estate and documents the changes that are required to meet these.



During 2015/16 the construction of a new South TPA HQ in Barrow was completed with the building becoming operational from September 2015.

(Barrow TPA HQ, Opened September 2015)

The flooding in December identified a number of risks to resilience and business continuity arising at a number of sites across the Commissioner's estate. The impact of the flooding has been to expose a number of significant risks, in particular to communications and ICT infrastructure, but also in respect of wider issues concerning deployment and custody. These risks require investment to mitigate and provide assurance in respect of future operational capabilities during severe weather incidents. It is therefore recommended that:

The existing capital scheme for Estates North is enhanced to meet identified operational needs and improve resilience. The enhanced scheme will provide additional resilience in respect of provision of ICT and communications infrastructure and provide for the relocation of areas of the business that have been subject to flooding on the HQ site. It is recommended that the scheme enhancements are approved on a delegated basis to the Commissioner's Chief Finance Officer as final costs will be subject to procurement for the works.

A new scheme for Estates West is included in the capital programme. The scheme aims to address the longer term resilience issues experienced during the December floods in respect of custody and deployment. It will also provide a long term solution to the arrangements regarding the PFI scheme at Workington. The scheme is in the early stages of development and it is therefore recommended to be approved on an indicative basis and subject to a full business case being developed for approval.

Appendix 4 provides a hi-level analysis of the estates capital programme.

3.11. **Other Schemes**

Other schemes include cross cutting programmes of work and include the provision of a county wide CCTV system, works to further develop the finance system and e-business suite and developments to the Constabulary Intranet and Internet sites.

3.12. The capital programme proposed is to be approved in three status categories:

- Firm – Where a firm scheme is approved, procurement can commence without delay.
- Delegated – Where a scheme is approved in principle but there are fine details with regards to procurement and cost that have been delegated to the Commissioner's CFO for final approval.
- Indicative – Where a scheme requires a full detailed business case to be submitted to the Commissioner for formal approval.

4. Supplementary information

Attachments

Appendix 1	Capital Expenditure and Financing 10 years 2016/17 to 2025/26
Appendix 2	ICT Schemes
Appendix 3	Fleet Schemes
Appendix 4	Estates Schemes
Appendix 5	Other Schemes
Appendix 6	Analysis of the change in Capital Strategy between February 2015 and February 2016

Appendix 1

Capital Expenditure and Financing 10 years 2016/17 to 2025/26

Capital Expenditure	Yr 0 2015/16 £	Yr 1 2016/17 £	Yr 2 2017/18 £	Yr 3 2018/19 £	Yr 4 2019/20 £	Yr 5 2020/21 £	Yr 6 2021/22 £	Yr 7 2022/23 £	Yr 8 2023/24 £	Yr 9 2024/25 £	Yr 10 2025/26	Yr 1-10 Total £
ICT Schemes	4,191,291	**4,439,655**	**4,753,122**	**1,398,030**	**1,758,726**	4,940,185	1,749,259	1,201,914	2,934,451	568,733	2,494,273	26,238,348
Fleet Schemes	599,172	**798,957**	**1,448,611**	**529,406**	**637,459**	2,161,170	1,363,712	1,472,652	1,084,600	806,412	1,121,160	11,424,139
Estates Schemes	4,990,749	**1,590,610**	**3,633,974**	**4,756,662**	**6,805,000**	6,600,000	0	0	0	150,000	620,000	24,156,246
Other Schemes	864,452	**53,890**	**0**	**0**	**0**	0	600,000	0	0	0		653,890
Total Capital Expenditure	**10,645,663**	**6,883,112**	**9,835,707**	**6,684,098**	**9,201,185**	**13,701,355**	**3,712,971**	**2,674,566**	**4,019,051**	**1,525,145**	**4,235,433**	**62,472,623**

Capital Financing	Yr 0 2015/16 £	Yr 1 2016/17 £	Yr 2 2017/18 £	Yr 3 2018/19 £	Yr 4 2019/20 £	Yr 5 2020/21 £	Yr 6 2021/22 £	Yr 7 2022/23 £	Yr 8 2023/24 £	Yr 9 2024/25 £	Yr 10 2025/26	Yr 1-10 Total £
Capital Receipts	0	**0**	**0**	**(898,000)**	**0**	(1,707,000)	(461,094)	(538,906)	0	0	0	(3,605,000)
Contributions from Revenue	(1,869,242)	**(1,533,727)**	**(1,573,247)**	**(1,554,927)**	**(1,533,727)**	(3,293,686)	(2,826,287)	(2,806,527)	(2,783,727)	(2,783,727)	(2,864,127)	(23,553,709)
Capital Grants	(576,021)	**(1,944,669)**	**(6,335,907)**	**(2,302,713)**	**(810,702)**	(425,590)	(425,590)	(425,590)	(425,590)	(425,590)	(332,245)	(13,854,186)
Capital Reserves	(6,155,401)	**(3,304,775)**	**(1,926,553)**	**(1,928,458)**	**(6,856,756)**	(7,343,244)	0	0	0	0	0	(21,359,786)
Borrowing	(2,045,000)	**(99,941)**	**0**	**0**	**0**	0	0	0	0	0	0	(99,941)
Total Capital Financing	**(10,645,663)**	**(6,883,112)**	**(9,835,707)**	**(6,684,098)**	**(9,201,185)**	**(12,769,520)**	**(3,712,971)**	**(3,771,023)**	**(3,209,317)**	**(3,209,317)**	**(3,196,372)**	**(62,472,622)**

Capital Budget (Excess)/Shortfall	**0**	**0**	**0**	**0**	**0**	**931,835**	**(0)**	**(1,096,457)**	**809,734**	**(1,684,172)**	**1,039,061**	**0**

A more detailed analysis of capital expenditure is provided at Appendices 2-5.

Appendix 2

ICT Schemes

ICT Summary	Yr 0 2015/16 £	Yr 1 2016/17 £	Yr 2 2017/18 £	Yr 3 2018/19 £	Yr 4 2019/20 £	Yr 5 2020/21 £	Yr 6 2021/22 £	Yr 7 2022/23 £	Yr 8 2023/24 £	Yr 9 2024/25 £	Yr 10 2025/26	Yr 1-10 Total £
ICT End User Hardware Replacement (002x)	214,600	269,500	94,622	588,660	85,349	98,971	270,123	636,193	92,023	106,897	95,553	2,337,892
ICT Core Hardware Replacement (003/004x)	2,272,677	787,260	297,900	550,570	1,620,990	2,770,032	765,927	363,925	571,025	275,976	1,300,450	9,304,056
ICT Core Infrastructure Replacement (projects)	0	675,000	0	0	0	0	0	96,094	750,000	0	0	1,521,094
ICT Infrastructure Solution Replacement (Projects)	824,708	1,075,078	1,698,600	111,460	52,386	53,382	54,396	55,430	56,483	57,556	58,650	3,273,420
Mobility and Digital	879,305	1,382,817	202,000	147,340	0	745,800	334,813	50,272	116,600	128,304	689,700	3,797,646
ESN (Radio Replacement)	0	250,000	2,460,000	0	0	1,272,000	324,000	0	1,348,320	0	349,920	6,004,240
Total ICT Summary	***4,191,291***	***4,439,655***	***4,753,122***	***1,398,030***	***1,758,726***	***4,940,185***	***1,749,259***	***1,201,914***	***2,934,451***	***568,733***	***2,494,273***	***26,238,348***

Status - The ICT schemes within the capital programme above consolidate a significant number of complex and interrelated projects. The status of schemes is subject to agreement between the Commissioner and Constabulary. It is recommended that delegated approval is given to the Commissioner's Chief Finance Officer to agree the status of schemes on the basis of the following principles:

Firm Schemes

- Schemes that are either routine cyclical upgrade of existing systems/hardware/software
- Schemes which have been approved by the Commissioner following submission of a business case/decision report

Delegated Schemes

- Schemes agreed in principle by decision report, where the detail of the financial profile/procurement/implementation plans are still to be developed
- Schemes within the Chief Finance Officer's virement authorisation limits for which there is a clear business case
- Schemes above the Chief Finance Officer's virement authorisation limits, but which are nationally mandated and supported by a business case.

Schemes not meeting the principles for firm or delegated schemes will be classed as indicative and will require a business case or decision report to the Commissioner before approval is given to commence with the scheme. The status of schemes applies to the funding for the four years 2016/17 to 2019/20, covering the period for which the capital programme is fully funded.

Appendix 3

Fleet Schemes

Fleet Summary	Status	*Number of Vehicles in Category*	Yr 0 2015/16 £	Yr 1 2016/17 £	Yr 2 2017/18 £	Yr 3 2018/19 £	Yr 4 2019/20 £	Yr 5 2020/21 £	Yr 6 2021/22 £	Yr 7 2022/23 £	Yr 8 2023/24 £	Yr 9 2024/25 £	Yr 10 2025/26	Yr 1-10 Total £
Covert vehicles	Firm	***28***	94,200	119,340	225,888	0	97,848	91,960	92,736	143,982	116,696	174,050	99,360	1,161,860
Neighbourhood Policing	Firm	***113***	210,800	342,720	567,528	384,780	0	1,594,450	376,320	586,074	214,136	263,140	0	4,329,148
Specialist Vehicles	Firm	***21***	104,600	67,524	236,600	80,878	27,648	49,060	60,928	168,036	78,300	90,034	0	859,008
Chief Officers	Firm	***0***	0	0	0	0	0	0	0	0	0	0	0	0
Dog Vehicles	Firm	***11***	84,000	0	0	0	0	277,200	30,240	0	26,216	0	302,400	636,056
Motor Cycles	Firm	***10***	0	137,088	30,160	0	0	0	32,480	0	0	158,592	34,800	393,120
Pool Cars	Firm	***29***	24,700	14,484	88,504	74,200	11,124	55,550	113,120	11,514	16,936	73,514	57,240	516,186
Protected personnel Carriers	Firm	***9***	0	0	116,064	0	0	0	188,160	254,790	0	0	133,920	692,934
Roads Policing Vehicles	Firm	***23***	133,422	0	336,960	0	561,600	0	390,544	0	603,200	0	388,800	2,281,104
Crime Command	Firm	***23***	90,400	10,302	42,016	0	98,604	0	0	103,056	11,716	47,082	0	312,776
Crime Schene Investigators	Firm	***11***	0	0	0	0	0	0	14,000	182,400	0	0	0	196,400
Garage vehicles	Firm	***3***	0	2,040	0	84,800	0	0	0	0	0	0	0	86,840
Misc Adaptions /Mobile Data Terminals	Firm	***0***	30,200	0	0	0	0	0	0	0	0	0	0	0
VIP	Firm	***2***	0	0	21,008	15,900	0	0	22,624	0	17,400	0	24,240	101,172
Sellafield Policing Unit	Firm	***5***	0	0	39,520	21,200	0	92,950	42,560	22,800	0	0	80,400	299,430
Ballistically Protected Vehicle	Firm	***1***	0	60,000	0	0	0	0	0	0	0	0	0	60,000
Slippage	Firm		(126,565)	126,565	0	0	0	0	0	0	0	0	0	126,565
Savings to Identify	Firm		(46,585)	(81,106)	(255,637)	(132,352)	(159,365)	0	0	0	0	0	0	(628,460)
														0
Total Fleet Summary		***289***	***599,172***	***798,957***	***1,448,611***	***529,406***	***637,459***	***2,161,170***	***1,363,712***	***1,472,652***	***1,084,600***	***806,412***	***1,121,160***	***11,424,139***

Capital Programme Scheme Status* Recommendations: Fleet schemes

1. It is recommended that all fleet schemes are approved as firm for 2016/17 only. This provides authority to procure on the basis of the currently approved fleet strategy. The strategy will be reviewed during 2016/17 to inform the status of the capital programme in future years.

*scheme status applies to the financial profile between 2016/17 and 2019/20 only unless otherwise stated.

Appendix 4

Estates Schemes

Estates Schemes	Ref	Status	Yr 0 2015/16 £	Yr 1 2016/17 £	Yr 2 2017/18 £	Yr 3 2018/19 £	Yr 4 2019/20 £	Yr 5 2020/21 £	Yr 6 2021/22 £	Yr 7 2022/23 £	Yr 8 2023/24 £	Yr 9 2024/25 £	Yr 10 2025/26	Yr 1-10 Total £
Existing Schemes														
South Estate - Kendal Custody	a	Firm	45,000	99,941	0	0	0	0	0	0	0	0	0	99,941
South Estate - Barrow & Ulverston	b	Firm	4,221,570	0	0	0	0	0	0	0	0	0	0	0
Roof Repairs - Various														
- Whitehaven Police Station	c	Firm	0	0	0	37,625	0	0	0	0	0	0	100,000	137,625
- Kendal Police Station	d	Firm	0	0	0	0	55,000	0	0	0	0	0	120,000	175,000
HQ Flood Defence Works	e	Firm	(1,155)	0	0	0	0	0	0	0	0	0	0	0
Heating, Ventilation & Cooling Plant - Various														
- Police Headquarters	f	Firm	0	0	0	0	0	0	0	0	0	0	300,000	300,000
HQ Electrical Infrastructure	g	Firm	191,333	446,444	0	0	0	0	0	0	0	0	0	446,444
Durranhill Car Park	h	Firm	34,000	0	0	0	0	0	0	0	0	0	0	0
UPS Durranhill	i	Firm	0	0	0	0	0	0	0	0	0	150,000	0	150,000
UPS HQ	j	Firm	0	0	0	0	100,000	0	0	0	0	0	0	100,000
North Resilience Flood Management	k	Delegated	0	494,225	2,833,974	3,619,037	0	0	0	0	0	0	0	6,947,236
Workington - Land Purchase	l	Firm	500,000	0	0	0	0	0	0	0	0	0	0	0
Sub Total Existing Estates Schemes			**4,990,749**	***1,040,610***	***2,833,974***	***3,656,662***	***155,000***	***0***	***0***	***0***	***0***	***150,000***	***520,000***	***8,356,246***
New Estates Schemes 2016/17														
HQ Minor Works/Improvements	m	Firm		50,000	50,000	50,000	50,000	0	0	0	0	0	0	200,000
Garage Provision	n	Indicative	0	500,000	0	0	0	0	0	0	0	0	0	500,000
Durranhill - Replacement CCTV system and cell call	o	Firm	0	0	0	0	0	0	0	0	0	0	100,000	100,000
West Resilience Flood Management	p	Indicative	0	0	750,000	1,050,000	6,600,000	6,600,000						15,000,000
Sub Total New Estates Schemes			**0**	***550,000***	***800,000***	***1,100,000***	***6,650,000***	***6,600,000***	***0***	***0***	***0***	***0***	***100,000***	***15,800,000***
Total Estates Schemes			***4,990,748.61***	***1,590,610***	***3,633,974***	***4,756,662***	***6,805,000***	***6,600,000***	***0***	***0***	***0***	***150,000***	***620,000***	***24,156,246***

Capital Programme Scheme Status* Recommendations: Estates Schemes

1. It is recommended that schemes (c), (d), (f), (i), (j), (o) are approved as firm, these being routine cyclical replacement and upgrade of existing facilities.
2. It is recommended that schemes (a), (b), (e), (g), (h), (l), (m) are approved as firm, the scheme having been subject to an approved business case.
3. It is recommended that enhancements to scheme (k), previously approved by the Commissioner on a firm basis, now be delegated to the PCC Chief Finance Officer for final approval.as a result of enhancements to the scheme to manage HQ estate flood resilience issues.
4. It is recommended that schemes (n), (p) are agreed in principle as indicative schemes and subject to a business case being approved by the Commissioner

*scheme status applies to the financial profile between 2016/17 and 2019/20 only unless otherwise stated.

Appendix 5

Other Schemes

Other Schemes	Status	Yr 0 2015/16 £	Yr 1 2016/17 £	Yr 2 2017/18 £	Yr 3 2018/19 £	Yr 4 2019/20 £	Yr 5 2020/21 £	Yr 6 2021/22 £	Yr 7 2022/23 £	Yr 8 2023/24 £	Yr 9 2024/25 £	Yr 10 2025/26	Yr 1-10 Total £
ANPR - Motorway equipment Replacement	Firm	9,100.00	**0**	**0**	**0**	**0**	0	0	0	0	0	0	0
Finance & Business Systems Upgrade	Firm	10,057.86	**0**	**0**	**0**	**0**	0	0	0	0	0	0	0
Firearms Replacement	Firm	6,546.68	**0**	**0**	**0**	**0**	0	0	0	0	0	0	0
CCTV	Firm	502,022.63	**23,890**	**0**	**0**	**0**	0	600,000	0	0	0	0	623,890
Intranet	Firm	50,000.00	**0**	**0**	**0**	**0**	0	0	0	0	0	0	0
Internet	Firm	50,000.00	**0**	**0**	**0**	**0**	0	0	0	0	0	0	0
Leadership & Skills - Blended learning	Firm	79,000.00	**0**	**0**	**0**	**0**	0	0	0	0	0	0	0
Veterans Hostel	Firm	86,020.81	**0**	**0**	**0**	**0**	0	0	0	0	0	0	0
Body Worn Cameras	Firm	45,500.00	**0**	**0**	**0**	**0**	0	0	0	0	0	0	0
Topcon GPS positioning Equipment	Indicative	0.00	**30,000**	**0**	**0**	**0**	0	0	0	0	0	0	30,000
Digital Interview Suites	Firm	26,204.00	**0**	**0**	**0**	**0**	0	0	0	0	0	0	0
Total Other Schemes		**864,451.98**	**53,890**	**0**	**0**	**0**	**0**	**600,000**	**0**	**0**	**0**	**0**	**653,890**

Capital Programme Scheme Status* Recommendations: Other Schemes

1. It is recommended that the majority of schemes are approved as firm, these being schemes having been subject to an approved business case
2. It is recommended that the Topcon GPS scheme be approved on an indicative basis subject to a business case being presented to the Commissioner for approval.

*scheme status applies to the financial profile between 2016/17 and 2019/20 only unless otherwise stated.

Appendix 6

Analysis of the change in Capital Strategy between February 2015 and February 2016

	Yr 1 2016/17 £	Yr 2 2017/18 £	Yr 3 2018/19 £	Yr 4 2019/20 £	MTFF TOTAL £
Capital Strategy - Feb-15	9,395,799	6,071,452	1,721,367	3,468,241	20,656,859
Capital Strategy - Proposed (February 2016)	6,883,112	9,835,707	6,684,098	9,201,185	32,604,102
Difference (decrease)/Increase	(2,512,687)	3,764,255	4,962,731	5,732,944	11,947,243
Difference by Type					
- ICT Schemes	(1,443,015)	3,056,495	397,928	(710,485)	1,300,924
- Fleet Schemes	169,445	(42,597)	(154,234)	(206,571)	(233,957)
- Estates Schemes	(1,293,008)	750,357	4,719,037	6,650,000	10,826,386
- Other Schemes	53,890	0	0	0	53,890
Difference (decrease)/Increase	(2,512,688)	3,764,255	4,962,731	5,732,944	11,947,243
Explanation of the Difference by Type					
- ICT Schemes					
Re- profile of expenditure from 2015/16 & Slippage between years	(163,000)	513,000	60,000	(69,000)	341,000
Converged Infrastructure	(1,519,571)	(238,750)	10,500	(142,875)	(1,890,696)
Growth for Finance & HR Futures	(330,000)	1,075,000	0	0	745,000
Growth for Emergency Services Network (ESN) (Revised estimate)	250,000	2,460,000	0	0	2,710,000
Growth for Hi Tech Crime	236,600	0	0	0	236,600
Tablets, PC's and Monitors - re-use and reduce numbers	(237,571)	(291,343)	(76,934)	(229,736)	(835,585)
Voice Recording - extend the life	0	(281,250)	0	0	(281,250)
Bodycams - re-profiled with a 5 year life	0	(5,500)	(5,625)	(120,750)	(131,875)
Other Changes	320,528	(174,662)	409,987	(148,123)	407,730
- Fleet Schemes					
Re- profile of expenditure from 2015/16	126,565	0	0	0	126,565
Ballistically Protected Vehicle & VIP vehicle added in	60,000	20,200	0	0	80,200
Re-Profiling with a change in Life or a change in Price	(48,400)	106,100	(30,200)	(29,500)	(2,000)
Price Change or Type change	11,000	105,600	21,500	0	138,100
One in one out	112,000	0	0	0	112,000
Inflation Changes	(10,614)	(18,860)	(13,182)	(17,706)	(60,362)
Savings to identify	(81,106)	(255,637)	(132,352)	(159,365)	(628,460)
- Estates Schemes					
Re- profile of expenditure from 2015/16	546,385	0	0	0	546,385
Re-profile North Flood Management	(2,389,393)	(49,644)	3,619,037	0	1,180,001
HQ Minor Works/Improvements	50,000	50,000	50,000	50,000	200,000
Garage Provision	500,000	0	0	0	500,000
West Estates Flood Management	0	750,000	1,050,000	6,600,000	8,400,000
- Other Schemes					
Re- profile of expenditure from 2015/16	23,890	0	0	0	23,890
New Scheme added in	30,000	0	0	0	30,000
Difference (decrease)/Increase	(2,512,688)	3,764,255	4,962,731	5,732,944	11,947,243